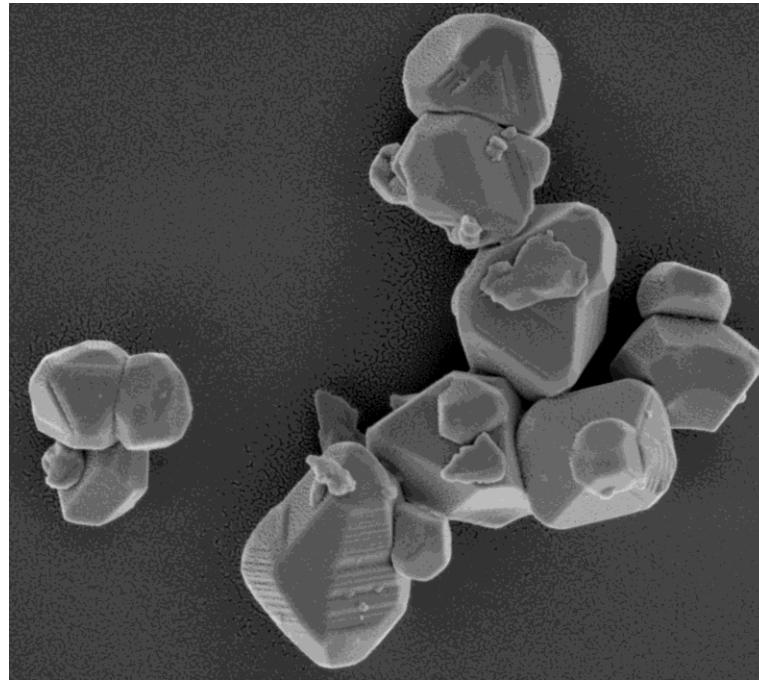


# Risk assessment of occupational used nanomaterials

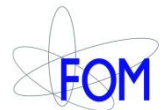
## Polder- en niet-poldermodellen vergeleken



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Frans Vlek (Netherlands School of Public & Occupational Health)



17th of April 2013



# Risk assessment of occupational used nanomaterials

A comparison of risk assessment methods in order to determine the risk of occupational used nanomaterials in a research environment

## **Polder- en niet-poldermodellen vergeleken**

### *Introduction*

Nanomaterials and properties  
Risk assessment in general

### *Aim of the study*

### *Results*

Inventory  
Theoretical comparison (criteria analysis)  
Field study

### *Conclusions*

### *Recommendations*



# Nanomaterials and properties

## Definition used in the study:

Engineered Nano Particles (ENPs) – Nanomaterials intentionally made by humans

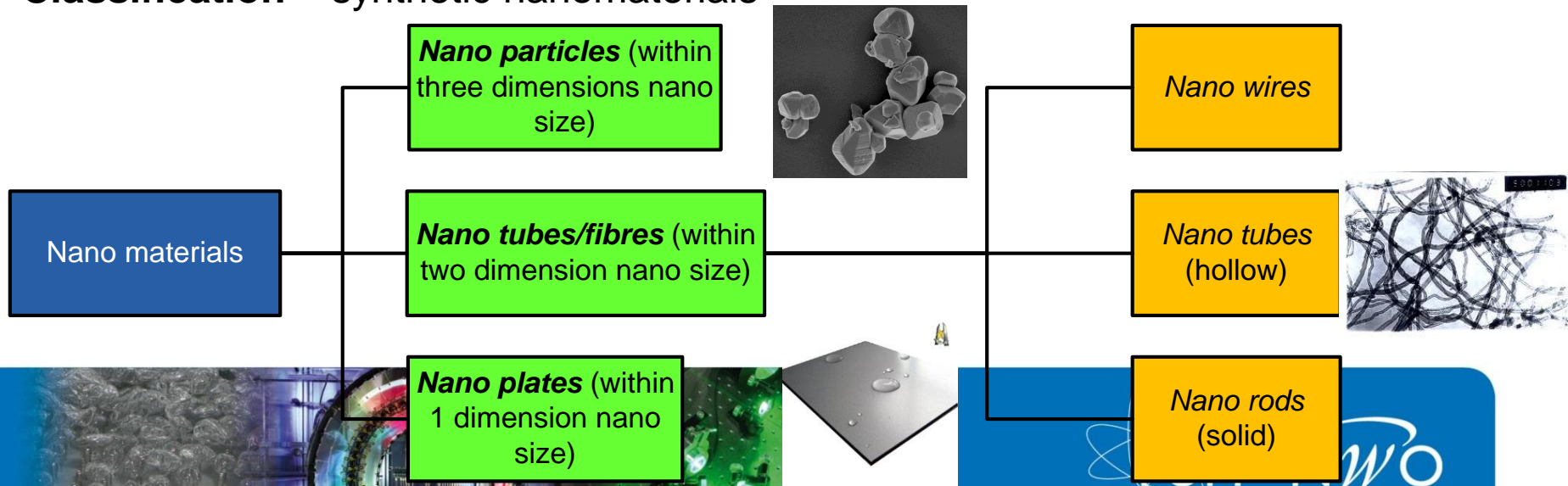
Dimensions: one or several dimensions within the range of 1 – 100 nm

## Classification – all nanomaterials

### Origin

<b>Natural</b>	(e.g. ashes from volcanoes)	
<b>Man-made</b>	Unintentional	Intentional
	Products during certain processes (i.e. manganese nanoparticle during welding and emission of nano carbon particles during combustion)	Synthetic nanomaterials (production of nano carbon tubes and TiO <sub>2</sub> within nano size)

## Classification – synthetic nanomaterials



# Nanomaterials and properties

**Properties of ENPs:**

- Dimension (size)
- Shape
- Chemical composition (including health-related properties)
- Surface (dimension & chemical composition)
- Solubility

## Exposure and health effects

*Main exposure routes:* Via respiratory system (lungs) and skin (dermal)

*Health effects:* Inflammation, cytotoxic, fibrosis, asbestos-like symptoms

## Properties of a research environment

- Small amounts ( $\mu\text{g}$  to a kg)
- Large variety
- Continuous changing processes
- Well equipped labs
- Highly educated employees
- Development of new ENPs or moderate ENPs



# Risk assessment in general

Risk assessment consists of three parts:

**Hazard  
assessment**

**Used criteria:**

Physical properties (size, shape, solubility)  
Chemical properties (flammability, explosiveness)  
Health related properties (Toxicity, Carcinogenicity)

**Exposure  
assessment**

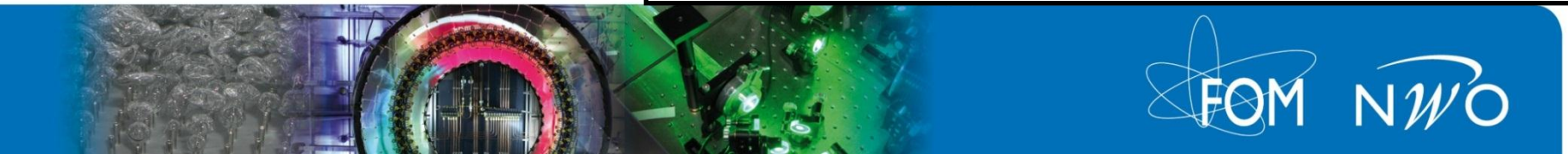
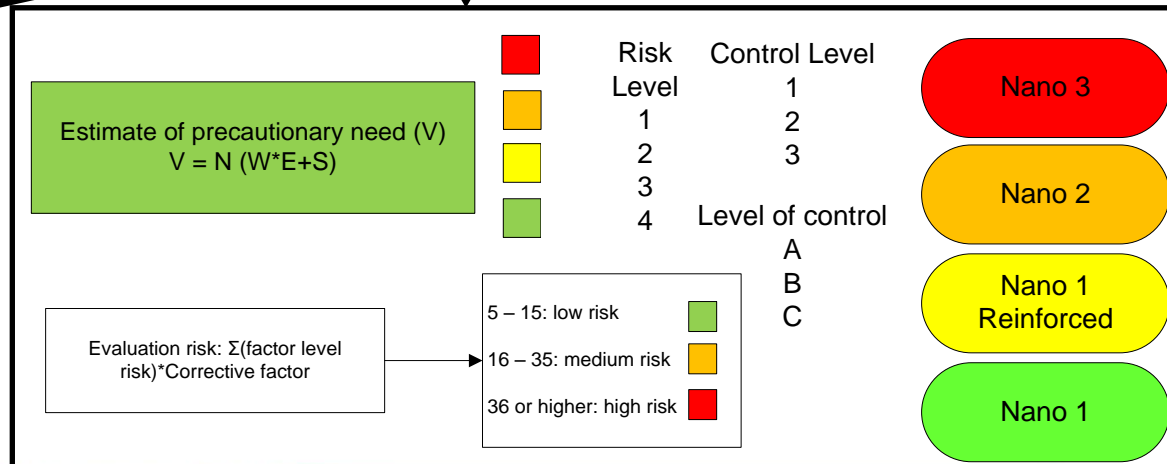
**Used criteria:**

Amount of used material,  
Duration of the task,  
Frequency, Dustiness etc

**Risk evaluation  
(characterization)**

**Risk reducing  
measures**

#	Approach	STOP
1a	Elimination	S
1b	Substitution and reduction	
2a	Enclose	T
2b	Engineered controls	
3	Organizational controls	O
4	PPE	P





## Aim of the study

- Identify and list the available risk assessment methods for assessing the risks of ENP use;

Literature study

- Evaluate and compare the most frequently used risk assessment methods theoretically and in the field;



Literature study  
Comparison of criteria  
Questionnaire

Studying four processes  
Applying 10 risk ass. methods

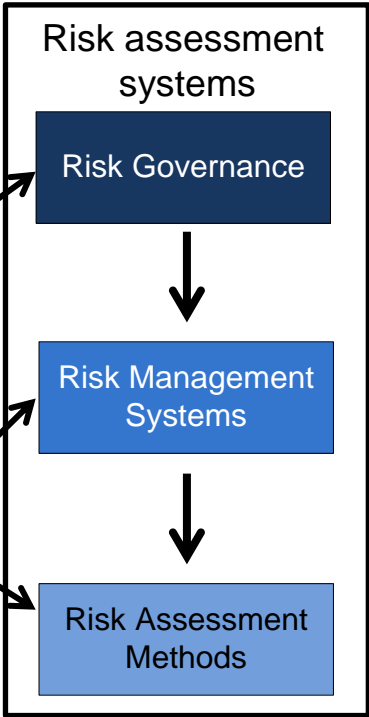
- Determine which methods are appropriate for use in research institutes.



# Literature study – Available Risk Assessment Systems

32 Risk assessment methods available for assessing risk of ENP-use  
(May 2012)

#	Name
1	
2	Control Banding Nanotool
3	the guide for employers and employees'
4	
5	s, PD 6699-2:2007
6	Guidance for Handling and Use of Nanomaterials at the Workplace
7	Precautionary Matrix for Synthetic Nanomaterials
8	ISO/TR 13121, Nanotechnologies – Nanomaterials risk evaluation
9	Work health and safety assessment tool for handling engineered nanomaterials (Control banding)
10	Risk identification framework
11	ASTM E2535 - 07 Standard Guide for Handling Unbound Engineered Nanoscale Particles in Occupational Settings
12	Risk-based classification system of nanomaterials
13	Management of nanomaterials safety in research environment
14	Nanotechnology: Risk Assessment model
15	
16	IRGC Risk Governance Frame Work
17	(IRCG 2007)
18	
19	
20	General Risk management system
21&22	
23	
24	ANSES – Development of a specific CB-tool
25	for nanomaterials (Riediker et al 2012)
26	
27	
28	Tiered approach to an exposure measurement and assessment of nanoscale aerosols released form engineered nanomaterials in workplace operations [139]
29	Nanotoolkit - Working Safely with engineered Nanomaterials in Academic Research Settings -
30	Assured Nano
31	Safe handling and use of carbon nanotubes
32	GoodNanoGuide Control banding



Occupational  
Public  
Enviromental

# Selection of Risk Assessment Methods (RAMs)

11 of the 32 systems were selected for further study

Main criteria:

- Complete Risk Assessment Method
- 'Freely' available
- Developed for the assessing the risk of ENP-use
- Applicable to occupational settings
- Used in the field

Risk Assessment Method	Referred to as
<b>ANSES, development of a specific control banding tool for nanomaterials</b>	ANSES
<b>Control Banding Nanotool</b>	CB-Nanotool
<b>General Risk Management System</b>	Chemical Control Kit (CCK)
<b>Guidance working safely with nanomaterials and nanoproducts 'the guide for employers and employees'</b>	The Guidance
<b>ISO/TR 13121, Nanotechnologies – Nanomaterials Risk Evaluation</b>	ISO-TR13121
<b>Management of Nanomaterials Safety in Research Environment</b>	EPFL-model
<b>Nanosafety Guidelines</b>	TU-Delft guidel.
<b>Nanotechnology: Risk Assessment Model</b>	ISPESL-model
<b>Nanotoolkit - – Working Safely with Engineered Nanomaterials in Academic Research Settings</b>	Nanotoolkit
<b>Precautionary Matrix for Synthetic Nanomaterials</b>	PM
<b>Stoffenmanager Nano</b>	SM- nano

















# General comparison of the included RAMs

1. Comparison based on method
2. Comparison based on compaignies the RAMs were developed

Based on method

Three categories:

1. Based on the e-COSHH(Control Banding)-method;
2. Hazard assesement mainly based on physical properties;
3. Combination of 1 and 2.

	Toxicity level labelling				
	HB1	HB2	HB3	HB4	HB5
Classification & labelling	 <b>Warning</b> Eye irrit. 2 Skin irrit. 2 And all H-phrases not otherwise listed	 <b>Warning</b> Acute tox. 4  <b>Warning</b> STOT-SE 2	 <b>Warning</b> Acute tox. 3  <b>Warning</b> STOR-RE-2  <b>Danger</b> Skin corr. 1 Eye dam. 1  <b>Warning</b> Skin sens. 1 STOT-SE 3 (resp. irritant)	 <b>Warning</b> Acute tox. 1-2  <b>Danger</b> STOT-SE 1 STOT-RE 1 Repro tox 1A – 1B  <b>Warning</b> Carc. 2 Repro. 2	 <b>Danger</b> Resp sens. 1 Carc. 1A -1B Muta 1A – 1B  <b>Warning</b> Muta. 2



Comparison based on method

	<i>Main factors for hazard assessment</i>	<i>Based on:</i>
Category 1		
CCK	Hazard code (R-phrases) , health-related properties	e-COSSH
CB-nanotool	Health-related properties, Shape	
SM- Nano	Hazard code (H- & R-phrases), health-related properties, shape	
ANSES	Hazard code (H- & R-phrases), health-related properties, shape	
Category 2		
EPFL-model	Shape, used form (activity powder, suspension, matrix), aggregation	Mainly physical properties
PM	Redox/catalytic activity, stability	
TU-Delft	Nano toxicity (general), pyrophoric effects	
Guidelines		
The Guidance	Shape, solubility, persistence	
Nanotoolkit	Material state (solid, liquid and gas)	
Category 3		
ISPESL-model	Toxicological properties (health-related effects), Fire & explosion, agglomeration/aggregation	Comb. of cat 1 & 2
ISO-TR13121	Toxicological properties (long and short term effects), Fire, explosion, flammability, corrosiveness, reactivity	



# General comparison of the included RAMs

Companies for which the RAMs were developed

Companies	Risk Assessment Method
Small and Medium enterprises	The Guidance Chemical Control Kit ANSES Stoffenmanager nano PM
Industry	ISO-TR13121 Stoffenmanager nano PM The Guidance
Research/academic setting	EPFL-model Nanotoolkit CB-nanotool ISPESL-model TU-Delft guidelines



# Criteria analysis for risk assessment

## Results

### Criteria for Hazard assessment

#### Physical related prop.

- Shape
- Size
- Aggregation/  
agglomeration
- Solubility
- Surface area
- Surface chemistry

#### Health related prop.

- Mutagenicity
- Toxicity
- Carcinogenicity
- Irritating
- Reprotoxicity

#### Chemical related prop.

- Flammability
- Reactivity
- Corrosiveness
- Explosiveness

Criteria	Cat 1				Cat 2					Cat 3	
	Chemical Control kit	CB- nanotool	Stoffenm. nano	ANSES	Guidance	TU-D Guidelines	PM	EPFL- model	Nano- toolkit	ISPESL- model	ISO-TR 13121
Criteria related to the physical properties											
Shape (general)											
Nano wires/tubes											
EPN's non wires/tubular											
Size											
Anisotrope											
Spherical											
Aggregation/agglomeration											
Solubility (general)											
Insoluble											
Soluble											
Surface chemistry											
Surface area											
Stability											
Criteria related to health											
Mutagenicity											
Sensitizing											
Carcinogenicity											
Reprotoxicity											
(dermal) Toxicity											
Astmagen											
Irritating											
Chemical related propoerties											
Corrosiveness											
Explosiveness											
Pyrophiricity											
Reactivity/catalytical activity/ redox potential											
Flammability											

Cat. 1

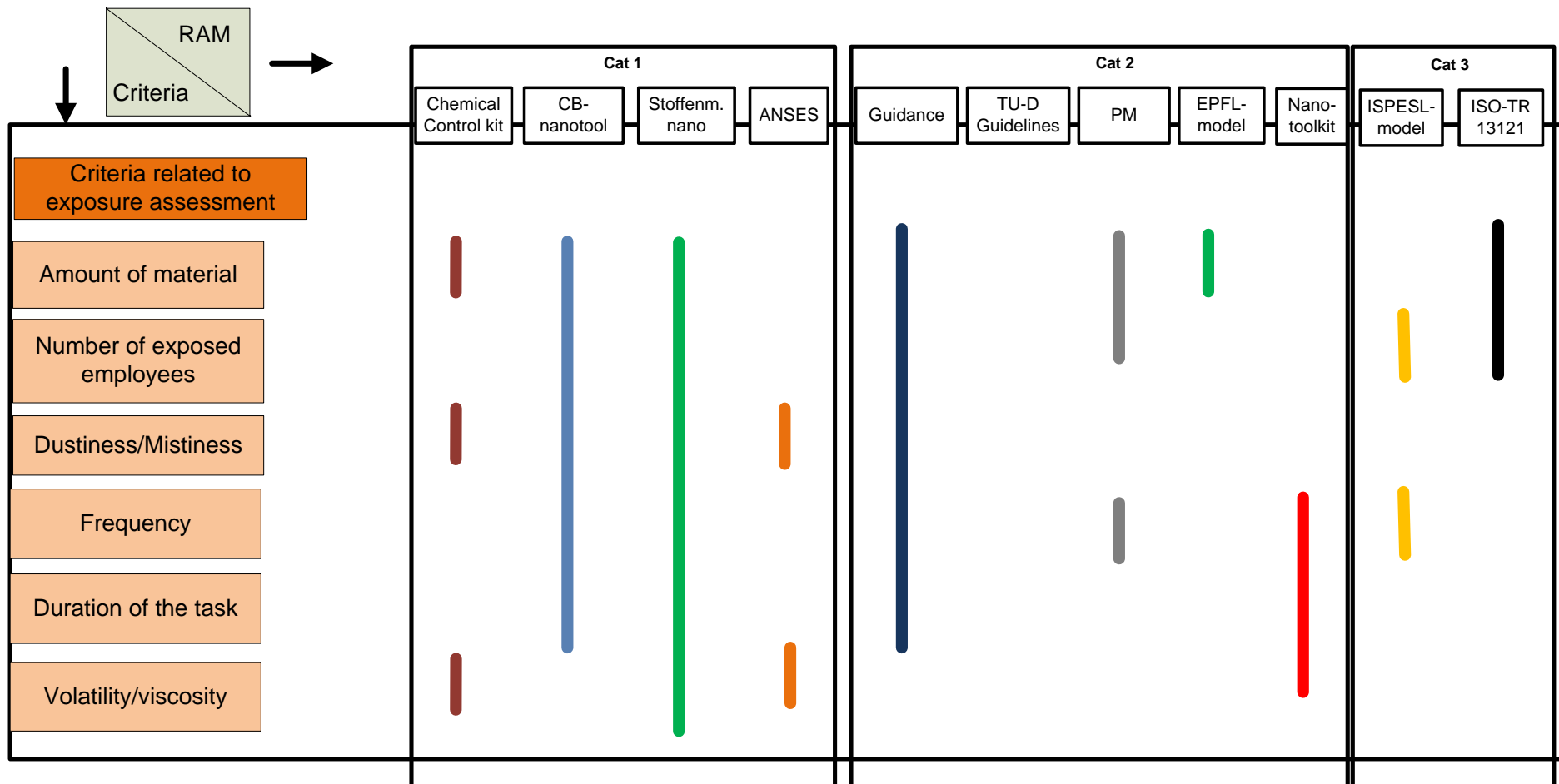
Cat. 2

Cat. 3



# Criteria for exposure assessment

## Criteria analysis for risk assessment



Discussion: are one or two criteria adequate for exposure assessment?





## Field study – The use of RAMs at workplaces

Problem: how can the different RAMs be compared?


































Comparing apples with pears????

Solution: Use the recommended risk reducing measures!



## Field study – The use of RAMs at the workplace

Risk assessment	Chemical Control Kit	CB-Nanotool	SM-nano	ANSES	The Guidance	PM	Nano-toolkit	EPFL-model	ISPESL-model
Risk level	4 	RL4 	I 	CL5 	C 	B 	Cat 3 	Nano3 	High 
	3 	RL 3 	II 	CL4 			Cat 2 	Nano2 	
	2 	RL2 		CL2  CL3 	B 			Nano 1ri 	Middle 
	1 	RL1 	III 	CL1 	A 	A 	Cat 1 	Nano1 	Low 

TU-Delft guidelines: risk levels are not applied

ISO-TR13121 excluded: risk evaluation method not exactly defined

Studied processes:

Process 1: Use of nanoform  $\text{SiO}_2$  at the UU

Process 2: Use of nanoform  $\text{Al}_2\text{O}_3\text{-Co}_3\text{O}_4$  at the UvA

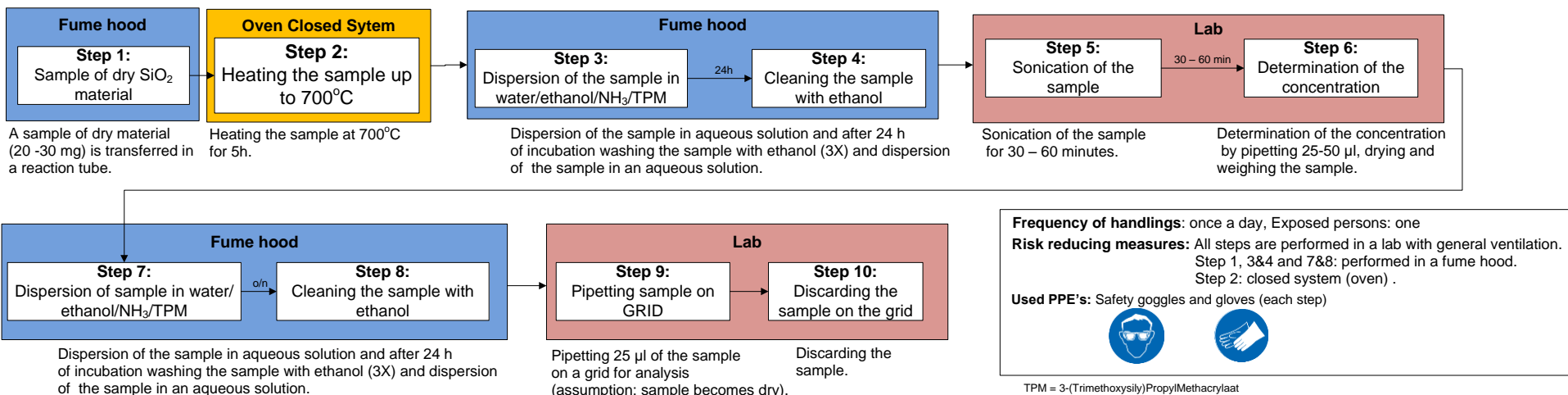
Process 3: Production and use of  $\text{Si/SiO}_2$  at the TU-Delft

Process 4: Use of nanoform  $\text{Cr}_2\text{O}_3$  and  $\text{Co}_3\text{O}_4$  at the UG



## Analysis of the process and the risk analysis
































### UU – use of nanoform SiO<sub>2</sub> in powder form and dispersion



	Step 1: Sample of dry SiO <sub>2</sub> material	Step 2: Heating the sample up to 700°C	Step 3: Dispersion of the sample in water/ethanol/NH <sub>3</sub> /TPM	Step 4: Cleaning the sample with ethanol
	Step 1	Step 2	Step 3&4	
1(CCK)				
2 (CB-nanotool)				
3 (SM-nano)	1  2	1  2	1  2	
4 (ANSES)				
5 (Guidance)				
6 (PM)		X		
7 (EPFL)				
8 (ISPESL)				
9 (Nanotoolkit)				

## Analysis of the process and the risk analysis

### UU – use of nanoform SiO<sub>2</sub> in powder form and dispersion

Risk assessment	Chemical Control Kit	CB-Nanotool	SM-nano	ANSES	The Guidance	PM	EPFL-model	Nanotoolkit	ISPESL-model
Risk level Step 1			 (1)  (2)						
Rec. RRM	1 none	RL2 none	II III NA	CL4 Containment	B None	B NA	Nano 3 none	Cat 2 none	Middle NA
Risk level step 3&4			 (1)  (2)						
Rec. RRM	2 none	RL2 none	II III NA	CL2 none	B None	B NA	Nano 1 none	Cat 1 none	Middle NA
Risk level Step 5			 (1)  (2)	 3  4					
Rec. RRM	2 FH/LEV	RL2 FH/LEV	II III NA	CL2 CL4 CL2: LV CL4: containment	C Prec. Principle	B NA	Nano 1 FH	Cat 2 FH/BC	High NA

**Step 1:** Handling 20 – 30 mg of dry SiO<sub>2</sub> in fume hood

**Step 3&4:** Dispersion of SiO<sub>2</sub> in a fume hood

**Step 5:** Sonication of SiO<sub>2</sub> in a open lab

BC: Biosafety Cabinet

FH: Fume Hood

LV: Local ventilation

LEV: Local Exhaust ventilation

NA: Not Applicable

Prec: Precautionary



## Conclusions Part I

### Available Risk Assessment Systems

- Over 32 systems are available for assessing risks of ENP-use;
- Risk Governance, Risk Management and Risk Assessment Methods.

### In detailed studied Risk Assessment Methods

- 11 RAMs were studied in detailed;
- Only 4 of the studied RAMs were developed for use in a research environment.

### Used criteria for risk assessment in general

- A large variety of criteria are used for risk assessment.

(no standardization)



**ISO/DTS 12901-2**

**Nanotechnologies - Occupational risk management  
applied to engineered nanomaterials - Part 2: Use of the  
control banding approach**





## Conclusions Part II

### Criteria for hazard assessment

- Some ENP specific criteria (e.g. surface area, agglomeration/aggregation) are often not used in RAMs;
- Chemical related criteria are most of the time not used.

### Criteria for exposure assessment

- CB-nanotool, SM-nano and The guidance use almost all criteria for exposure assessment;
- Some RAMs (e.g. EPFL-model, ANSES, ISO-TR13121) use one or a few criteria for exposure assessment.



**Field study**

- The risk level outcomes can deviate considerably for equal processes.
- A certain level of expertise is necessary for the use of the different RAMs

This is mainly caused by:

- The use of various criteria and/or differences in criteria interpretation lead to differences in risk level results;
- Differences in effect, of each criterion, on risk level determination, leading to different risk levels;
- Taking or not taking Risk Reducing Measures into account;
- Information used/ available during assessing the risks.

For example: Si

(ANSES)  
(CCK)

3  
C

Hazard

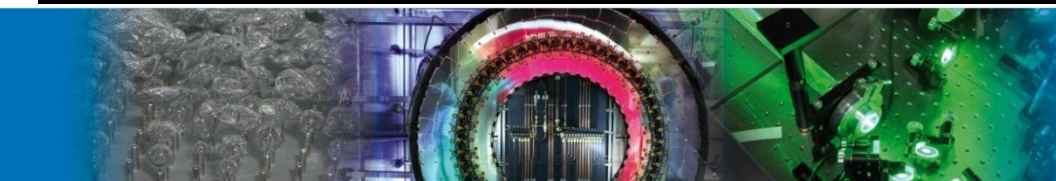
1  
A

(ANSES)  
(CCK)

SDS      Io-li-tec nanomaterials [173]

Sigma-Aldrich [192] and  
Alfa Aesar [172]

Information	Flammable substance (H228), Skin corrosion/irritation (H315), Serious eye damage/eye irritation (H319) Specific target organ toxicity – single exposure: resp. tract irritation (H335).	Flammable substance (H228)
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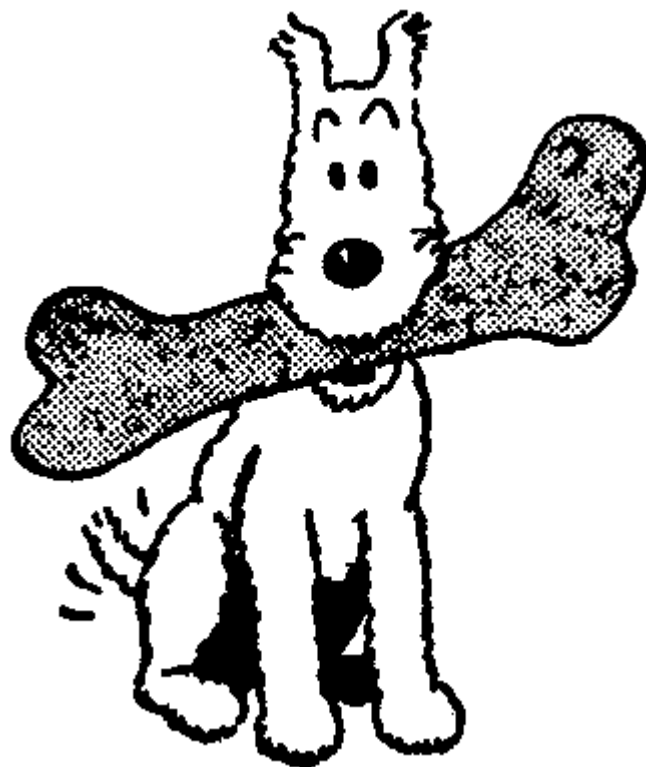


## Recommendations

- Develop guidelines/manuals for the current RAMs
- Standardization of RAMs
- More research on ENP-specific properties
- Measurement of ENPs in research settings



Questions? .....



Many thanks for your attention

